



12-08-03

Express Mailing Label No. EV 396 737 946 US

PATENT APPLICATION

Docket: 14321.56

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Tetsuro Inui et al.)
)
Serial No. 10/624,082)
)
Filing Date: July 21, 2003)
)
Confirmation No.: 5995)
)
For: DISPERSION MONITORING METHOD AND APPARATUS)
AND DISPERSION SLOPE TEMPERATURE DEPENDENCY)
COMPENSATION METHOD AND APPARATUS)

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop AMENDMENT – NO FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 5th day of December 2003.

- Response to Notice to File Missing Parts of Application (3 pgs.) (in duplicate)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$1,248
- Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages)
- Combined Declaration and Power of Attorney (5 pgs.)
- Assignment with Form PTO-1595 (4 pgs.)
- Preliminary Amendment (4 pages)
- Certified copies of Japanese Application Serial Nos. 2002-214575
- Postcard

Respectfully submitted,

DANA L. TANGREN
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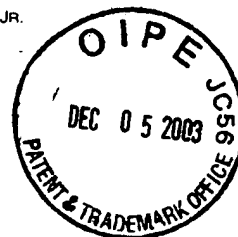
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tetsuro Inui et al.

Serial No. 10/624,082

Filing Date: July 21, 2003

Confirmation No.: 5995

For: DISPERSION MONITORING METHOD AND APPARATUS
AND DISPERSION SLOPE TEMPERATURE DEPENDENCY
COMPENSATION METHOD AND APPARATUS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed November 28, 2003, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X A Combined Declaration and Power of Attorney, executed by the inventors.
- X An Assignment conveying the invention to Nippon Telegraph and Telephone Corporation, including a Form PTO-1595 recordation cover sheet.

___ A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.

___ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

X A copy of the Notice to File Missing Parts of Nonprovisional Application.

X A Preliminary Amendment.

X A certified copy of Japanese priority Application Serial No. 2002-214575.

X A Certificate of Express Mail under 37 C.F.R. § 1.10.

___ To render the Response timely filed, enclosed are the following:

___ Petition for a ___-Month Extension of Time; and

___ Check No. ___ in the amount of \$ ___ to cover the fee for the extension of time.

The filing fee has been calculated as shown below.

| | | | SMALL ENTITY | | LARGE ENTITY | |
|--------------------------|-----------|-----------|--------------|-------|--------------|-------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE | | | | \$385 | | \$770 |
| TOT. CLAIMS | 20 - 21= | 1 | X 9= | | X 18= | 18 |
| IND. CLAIMS | 3 - 3= | 0 | X 43 | | X 86= | 0 |
| MULTIPLE DEPENDENT CLAIM | | | +145= | | +290= | 290 |
| | | | TOTAL | | TOTAL | 1078 |

X Form PTO-2038 submitting Credit Card Payment in the amount of \$1,248.00 is enclosed to cover:

X The \$1,078.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

X The \$130.00 surcharge fee pursuant to 37 C.F.R. § 1.16(e).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.

— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 5th day of December 2003.

Respectfully submitted,



DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246

022913

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

DLT: dfw

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